

FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

Form approved
Office of Management
and Budget
No. 1215-0188
Expires 11-30-2006

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - <u>5354</u>	2. Fiscal Year Covered From: <u>01</u> / <u>01</u> / <u>2004</u> Through: <u>12</u> / <u>31</u> / <u>2004</u>
3. Name and address of person filing. Name <u>BRIAN</u> <u>PETRONELLA</u> P.O. Box, Bldg., Room No., if any <u>UNIT 18</u> Street <u>21 LINDEN PLACE</u> City <u>NORWALK</u> State <u>CT</u> ZIP Code + 4 <u>06851</u>	4. Name, file number, and address of labor organization. Name <u>UPCW LOCAL No. 371</u> Labor Organization File Number <u>025-718</u> P.O. Box, Building and Room Number, if any <u>P.O. Box 470</u> Street <u>290 POST ROAD WEST</u> City <u>WESTPORT</u> State <u>CT</u> ZIP Code + 4 <u>06881-0470</u>
5. Position in labor organization. <u>PRESIDENT</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent. <u>N/A</u>	
6. Name and address of Employer (including trade name, if any). Name _____ Trade Name, if any: _____ P.O. Box, Bldg., Room No., if any _____ Street _____ City _____ State _____ ZIP Code + 4 _____	7.a. Nature of Interest, Transaction, or Income. _____ 7.b. Amount. _____

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed

On

8-1-05

Date

(203) 226-4751

Telephone Number

File Number U-

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

12.b. Amount. NONE

13.b. Is the Business an Employer ☐ or Consultant ☒ ?

Name of Person Filing	BRIAN PETRONELLA	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name <u>ZENITH ADMINISTRATORS INC</u></p> <p>Trade Name, if any: <u></u></p> <p>P.O. Box, Bldg., Room No., if any <u>SUITE 2600</u></p> <p>Street <u>541 NORTH FAIRBANKS COURT</u></p> <p>City <u>CHICAGO</u></p> <p>State <u>IL</u> ZIP Code + 4 <u>60611</u></p>	<p>9. Business deals with:</p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name. <u>N/A</u></p> <p>Name <u></u></p> <p>Trade Name, if any: <u></u></p> <p>P.O. Box, Bldg., Room No., if any <u></u></p> <p>Street <u></u></p> <p>City <u></u></p> <p>State <u></u> ZIP Code + 4 <u></u></p>	<p>11.a. Nature of such dealing.</p> <p><u>TRUSTEE EXPENSES COVERED BY ZENITH ADMINISTRATORS INC. THESE ARE EXPENSES FOR ATTENDING MEETINGS AND CONFERENCES AND ARE FOR MEAL EXPENSE.</u></p> <p>11.b. Approximate dollar value of such dealing. <u>772</u></p> <p>12.a. Nature of interest held or income received.</p> <p><u></u></p> <p>12.b. Amount. <u>NONE</u></p>

<p>C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value. <u>N/A</u></p>	
<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name <u></u></p> <p>Trade Name, if any: <u></u></p> <p>P.O. Box, Bldg., Room No., if any <u></u></p> <p>Street <u></u></p> <p>City <u></u></p> <p>State <u></u> ZIP Code + 4 <u></u></p>	<p>14.a. Nature of payment.</p> <p><u></u></p>
<p>13.b. Is the Business an Employer <input type="checkbox"/> or Consultant <input type="checkbox"/> ?</p>	<p>14.b. Amount of payment.</p> <p><u></u></p>

Name of Person Filing **BRIAN PETRONELLA**

File Number U-

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name **BOSTON PARTNERS ASSET MANAGEMENT**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any **21ST FLOOR**Street **28 STATE STREET**City **BOSTON**State **MA** ZIP Code + 4 **02109**

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer10. If 9.b. or 9.c. is checked give trust or employer's name. **N/A**

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUSTEE EXPENSES COVERED BY BOSTON PARTNERS.
THESE ARE EXPENSES FOR ATTENDING MEETINGS
AND CONFERENCE AND ARE FOR MEAL EXPENSE.

11.b. Approximate dollar value of such dealing.

408

12.a. Nature of interest held or income received.

12.b. Amount.

NONE

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value. **N/A**

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

14.b. Amount of payment.

Name of Person Filing **BRIAN PETRONELLA**

File Number U-

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name **LAZARD FRERES ASSET MANAGEMENT**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any **5TH FLOOR**Street **30 ROCKEFELLER PLAZA**City **NEW YORK**State **NY** ZIP Code + 4 **10020**

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer10. If 9.b. or 9.c. is checked give trust or employer's name. **N/A**

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUSTEE EXPENSES COVERED BY LAZARD FRERES. THESE ARE EXPENSES FOR ATTENDING MEETINGS AND CONFERENCE AND AIR FOR MEAL EXPENSE.

11.b. Approximate dollar value of such dealing.

119

12.a. Nature of interest held or income received.

12.b. Amount.

NONE

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value. **N/A**

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

14.b. Amount of payment.